



Investor & Treasury Services

At a Glance – First Quarter 2021

RBC Investor & Treasury Services (RBC I&TS) is a financially strong partner¹ that provides asset and payment services to corporate investors and financial institutions globally. Trusted with CAD 4.6 trillion in assets under administration², clients are at the heart of our service offering. With offices in 16 countries, our focus is on safeguarding client assets as we leverage data and technology solutions to deliver meaningful insights, simplify our clients' operations and support their growth.

#1 in Income Generated (custodial lenders unweighted) and **#1 Transfer Agent of the Year**³

A leader in

Canadian cash management and transaction banking services

Offshore provider

with centres of excellence in Luxembourg and Ireland

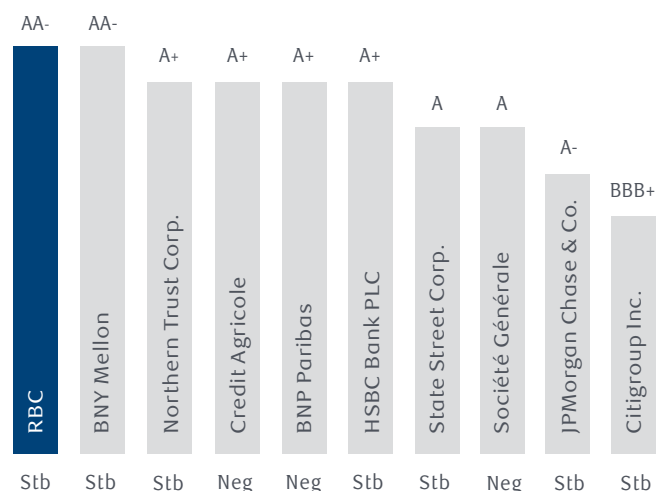
OVER **30** YEARS' UCITS experience

Zero losses for beneficial owners since inception of the **securities lending program** 35 years ago⁶

#1 Fund Administrator Overall and ETF Service Provider⁴

Foreign exchange expertise and **currency hedging solutions** designed to support clients in achieving best execution⁵

CREDIT RATINGS – ONE OF THE STRONGEST AMONGST OUR ASSET SERVICING PEERS
Standard & Poor's⁷



Notable Awards and Accolades

FUND ADMINISTRATION



#1 ETF Service Provider
Global Custodian – ETF Fund Administration Survey
2020

R&M SURVEYS

#1 Fund Administrator Overall
R&M Investor Services Survey
2020

CUSTODY



#1 Single Custodian - Highest Global Total (Unweighted)
Global Custody Survey
2019-2020

#1 Bank/Broker Dealer - Highest Average (Unweighted)
Global Custody Survey
2020

R&M SURVEYS

#1 Asset Servicer - North America
R&M Investor Services Survey
2019-2020



TRANSFER AGENCY

#1 Transfer Agent of the Year
Global Investor Survey
2019-2020



TRANSACTION BANKING

Best Trade Finance Bank in Canada
Best Trade Finance Bank Awards
2013-2021



SECURITIES LENDING

#1 Custodial Lender - Americas (Unweighted)
Global Investor Survey
2019-2020

#1 in Income Generated

(custodial lenders unweighted)
Global Investor Survey
2020

Key Figures

CUSTODY

- 102 markets in our global custody network

FUND ADMINISTRATION

- 3,000+ funds administered
- 3 million+ Net Asset Values calculated (annualized)

FOREIGN EXCHANGE

- 70+ currencies dealt
- 1 million+ FX transactions per annum
- CAD 1 trillion+ in FX volume per annum
- CAD 45 billion+ passive currency hedging assets

SECURITIES LENDING

- 29 lending markets
- 5,000+ securities lending accounts
- 10,000+ securities available for lending

TRANSFER AGENCY

- 15 million+ shareholder accounts

TRANSACTION BANKING

- 95% of top 20 banks by assets use RBC for settlements in CAD⁸
- RBC recognized as 'Best Trade Finance Provider in Canada' for nine consecutive years by Global Finance magazine

Broad Geographical Coverage

Providing asset services to clients around the world from 16 countries:



Global Asset Services Expertise

RBC Investor & Treasury Services' comprehensive range of products and services includes:⁹



Securities Processing & Administration

- Global Custody
- Trustee & Depositary
- Canadian Sub-custody¹⁰
- Fund/Investment Administration
- Shareholder Services
- Benefit Payments



Information Management

- Performance Measurement
- Compliance Monitoring and Reporting



Transaction Banking

- Canadian Clearing & Cash Management Services
- Trade Finance
- Insourced Solutions for Banks
- Broker-Dealer Services



Distribution

- Fund Order Settlement
- Fund Sales Intelligence



Optimization

- Cash/Liquidity Management
- Foreign Exchange Services
- Global Securities Lending
- Fund Finance



Global Operations

- Regulatory Solutions
- Operational Risk Management
- Product Development

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1) Standard & Poor's (AA-) and Moody's (Aa2) legacy senior long-term debt ratings of Royal Bank of Canada as of February 23, 2021. 2) RBC quarterly results as at January 31, 2021. 3) Global Investor Group - ISF Beneficial Owners Survey and Global Investor ISF Investment Excellence Awards, 2020. 4) R&M Fund Accounting & Administration Survey and Global Custodian-ETF Fund Administration Survey, 2020. 5) For mandated FX and currency hedging, RBC I&TS has hit rate of 100% as it is an outsourced solution. 6) Based on internal records as at January 31, 2021. 7) As at February 23, 2021 applying to the Royal Bank of Canada. 8) Bloomberg as at January 29, 2021. 9) Products and services may not be available in all jurisdictions. 10) Canada only. © Copyright Royal Bank of Canada 2020. RBC Investor & Treasury Services™ (RBC I&TS) is a global brand name and is part of Royal Bank of Canada. RBC I&TS operates primarily through the following companies: Royal Bank of Canada, RBC Investor Services Trust and RBC Investor Services Bank S.A., and their branches and affiliates. In Luxembourg, RBC Investor Services Bank S.A. is authorized, supervised and regulated by the Commission de Surveillance du Secteur Financier (CSSF), and jointly supervised by the European Central Bank (ECB). In the United Kingdom (UK), RBC I&TS operates through RBC Investor Services Trust, London Branch and Royal Bank of Canada, London Branch, authorized and regulated by the Office of the Superintendent of Financial Institutions of Canada. Authorized by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available on request. RBC I&TS UK also operates through RBC Investor Services Bank S.A., London Branch, authorized by the CSSF and ECB, and subject to limited regulation by the Financial Conduct Authority and Prudential Regulation Authority. Details about the extent of our regulation by the Financial Conduct Authority and the Prudential Regulation Authority are available on request. RBC Investor Services Bank S.A. maintains a representative office supervised by the Federal Reserve Bank of New York. RBC Investor Services Trust (Australian Branch) is licensed and regulated by the Australian Securities and Investment Commission, Australian Financial Services Licence number 295018. Details about the extent of our regulation by the Australian Securities and Investment Commission are available on request. RBC Investor Services Trust Singapore Limited is licensed by the Monetary Authority of Singapore (MAS) as a Licensed Trust Company under the Trust Companies Act and approved by MAS to act as a trustee of collective investment schemes authorized under S286 of the Securities and Futures Act. RBC Investor Services Trust Singapore Limited is also a Capital Markets Services Licence Holder issued by MAS under the Securities and Futures Act in connection with its activities of acting as a custodian. RBC Offshore Fund Managers Limited is regulated by the Guernsey Financial Services Commission in the conduct of investment business. Registered company number 8494. RBC Fund Administration (CI) Limited is regulated by the Jersey Financial Services Commission in the conduct of fund services and trust company business in Jersey. Registered company number 52624. RBC Investor Services Bank S.A. is a restricted license bank authorized by the Hong Kong Monetary Authority to carry on certain banking business in Hong Kong. RBC Investor Services Trust Hong Kong Limited is regulated by the Mandatory Provident Fund Schemes Authority as an approved trustee. Royal Bank of Canada, Hong Kong Branch, is regulated by the Hong Kong Monetary Authority and the Securities and Futures Commission. ® / ™ Trademarks of Royal Bank of Canada. Used under licence.